Institute of Financial Planners of Hong Kong
香港財務策劃師學會
Unit 2601, Bank of East Asia Harbour View Centre
56 Gloucester Road, Wanchai, Hong Kong
香港灣仔告士打道 56 號東亞銀行港灣中心 2601 室
Tel 電話: 2982 7888 Fax 傳真: 2982 7777
Website 網址: www.ifphk.org

Continuing Education Activities
持續教育進修課程

Are you looking to continually upgrade yourself with the latest topical issues in financial planning?
IFPHK's continuing education activities are designed to answer the needs of today's financial planners. They address industry issues you face every day, propose solutions to overcome challenges and provide proven and practical solutions that you can implement immediately.
This comprehensive program includes lectures, integrated and comprehensive case studies and experience sharing. Come and join us to learn from the financial experts and industry celebrities and gain new insights for achieving success in the financial planning industry.
你是否希望與時並進、探討財務策劃領域中的熱門課題？
香港財務策劃師學會持續進修課程正正為滿足現今財務策劃專業的需求而設。課程將探討在日常執業過程中所面對的問題，就如何解決問題提供最佳的方案及成功的實務例子，讓你可即時實踐應用。
本課程由多位財務策劃專家及業界精英主講，包括理論教授，綜合個案分析及經驗分享。令您更深入了解財務策劃成功之路。

What you will gain
- Learn and practise different steps of the internationally-recognized financial planning process
- Update your knowledge with the latest technical and practice knowledge in financial planning
- Gain valuable tips in practice management that you can apply immediately

本課程助您：
- 學習及演練國際標準財務策劃流程的不同步驟
- 獲得財務策劃行業的最新技巧及執業知識
- 獲得的寶貴建議讓您可立即應用於工作中

Course Date 課程日期	Course Title and code 課程名稱及編號	Duration 時間 (hours 小時)	Fee 費用 Member / Non-Member 會員 / 非會員	IFPHK	SFC	IA	MPFA Core	MPFA Non- Core
16 Dec (Wed) 12 月 16 日 (三)	MPF Updates 強積金之最新資訊 M112 ***Venue: HKGCC***	2.0	HK$260 / HK$520	2.0	2.0	2.0	2.0	0.0
18 Dec (Fri) **Full** 12 月 18 日 (五) **額滿**	Offshore Trusts and Its Application 離岸信託的認識與運用 RZ37	2.0	HK$260 / HK$520	2.0	2.0	0.0	0.0	2.0
21 Dec (Mon) 12 月 21 日 (一)	Practices in Retirement Planning II 退休策劃實務 (二) M107 ***Venue: HKGCC***	2.0	HK$260 / HK$520	2.0	2.0	2.0	1.0	1.0
12 Jan (Tue) 1 月 12 日 (二)	Advising with Investment-linked Insurance Products in Practice 建議投資相連保險計劃的應用 M102	2.0 (3:00pm – 5:00pm)	HK$260 / HK$520	2.0	2.0	2.0	0.0	2.0
14 Jan (Thu) 1 月 14 日 (四)	Stay Away from Professional Negligence while providing financial Advising 如何避免與財務策劃有關之專業疏忽 RZ39	2.0	HK$260 / HK$520	2.0	2.0	0.0	0.0	2.0
20 Jan (Wed) 1 月 20 日 (三)	Negotiation Skill for Financial Planning Professionals 專業財務策劃從業員的談判技能 RZ40	3.0	HK$390 / HK$780	3.0	3.0	0.0	0.0	3.0
26 Jan (Tue) 1 月 26 日 (二)	Be a fund manager yourself - implementation of ETF in your portoflio ETFs 於投資組合上的應用 RZ41	2.5	HK$325 / HK$650	2.5	2.5	0.0	0.0	2.5

Unless otherwise specified, all classes start at 6:30 pm. Enrolment ends 7 days before the course date.
除特別聲明外，上課時間均於晚上 6:30 開始。 截止報名日期定於課程舉行日前之 7 天。

MPF Updates
強積金之最新資訊
[Course Code 課程編號:M112]

Content 內容

- Updates on MPF System
- Latest development on MPF regulations
- Member protection and enforcement
- Employer obligations
- Review of MPF investment performance

- 強積金制度的最新發展
- 與強積金有關之條例的最新資訊
- 供款者的保障和強制要求
- 僱主於法律上的責任和義務
- 強積金投資回報檢討

Date 日期 16 Dec 2009 (Wed)
2009 年 12 月 16 日 (三)

Time 時間 6:30 PM - 8:30 PM (2.0 hours 小時)

Venue 地點 The Hong Kong General Chamber of Commerce Theatre
22/F United Centre, 95 Queensway, Hong Kong
香港總商會演講廳 (香港金鐘道 95 號統一中心 22 樓)

Speaker 講者 Saxon Weng, B.Sc
Saxon Weng joined the Mandatory Provident Fund Schemes Authority (MPFA) in 1999. He heads the Liaison Unit of the Authority and is responsible for the Authority's liaison and networking strategies with various stakeholder groups. He is in charge of Investor Education programmes in MPFA and is a frequent speaker for different MPF-related seminars for the public and the intermediaries.
Mr. Weng received a Bachelor of Science Degree in Sociology and Psychology from the University of Wisconsin, Madisonu.

翁世新, B.Sc
翁先生於 1999 年加入強制性公積金計劃管理局。翁先生領導強積金管理局的聯繫組，主要負責與各界人士維持網絡聯繫。翁先生主管強積金管理局的公衆教育活動，經常擔任與強積金相關講座的嘉賓講者，爲消費者及中介人提供相關資訊。
翁先生持有美國威斯康辛大學社會及心理學學士學位。

Medium of Instruction 語言 Cantonese 廣東話

Continuous Education Recognition 持續進修時數		
IFPHK 香港財務策劃師學會	2.0	CE credits 學分
IA 保監處	2.0	CPD hours 小時
SFC 證監會	2.0	CPT hours 小時
MPFA 積金局	2.0	Core CPD hours 核心小時
	0.0	Non Core CPD hours 非核心小時

[M1125H222SW091216]

HKCAAVQ ref 香港學術及職業資歷評審局核准課程參考編號:80/92/02

Offshore Trusts and Its Application
離岸信託的認識與運用

[Course Code 課程編號:RZ37]

FULL 額滿

Content 內容

- Onshore Trusts vs. Offshore Trusts
- Different kinds of Offshore Trusts
- Ownership vs. Control
- Asset Protection Device
- Cases suitable for Offshore Trusts

- 境內信託與離岸信託
- 不同種類的離岸信託
- 擁有權與操控權
- 資產保護應用
- 離岸信託個案分享

Date 日期 18 Dec 2009 (Fri)

2009 年 12 月 18 日 (五)

Time 時間 6:30 PM - 8:30 PM (2.0 hours 小時)

Speaker 講者 Raymond W.S. Tong, Solicitor

Raymond has been a practicing solicitor in Hong Kong for more than 23 years. He was admitted as a solicitor by the Supreme Court of Hong Kong in 1985, and subsequently enrolled as a solicitor in England & Wales in 1989.

Raymond mainly practises in corporate / commercial matters, mergers and acquisitions and property transfers, with specialised practice in trust establishment, estate planning, succession and probate matters.

Raymond has frequently been invited by radio, TV and the press to speak on estate planning topics.

唐偉成律師

香港執業律師，於 1985 年獲香港最高法院委任爲執業律師，亦於 1989 年在英格蘭及威爾士律師公會註冊爲律師，擁有超過 20 多年執業經驗。

唐律師深諳企業及商業法律事務，熟悉收購合併及資產買賣，亦精於信託及資產策劃、遺產承辦及繼承等事宜。

唐律師並經常獲邀於各大報章、電台及電視台等電子傳媒以遺產策劃爲題材作爲嘉賓講者。

Medium of Instruction 語言 Cantonese 廣東話

HKCAAVQ ref 香港學術及職業資歷評審局核准課程參考編號:Not "applicable/ 不適用"

Continuous Education Recognition 持續進修時數		
IFPHK 香港財務策劃師學會	2.0	CE credits 學分
IA 保監處	0.0	CPD hours 小時
SFC 證監會	2.0	CPT hours 小時
MPFA 積金局	0.0	Core CPD hours 核心小時
	2.0	Non Core CPD hours 非核心小時

[RZ373H200RT091218]

Practices in Retirement Planning II
退休策劃實務（二）
[Course Code 課程編號:M107]

Content 內容

- Use of Annuity in Retirement Planning
- Types of Annuities and market trends
- MPF Schemes and investment
- Types of Contribution in MPF Schemes
- Quantitative analysis of retirement needs
- Case studies: retirement planning for
- working individuals (more than 10 years to retire)
- pre-retire individuals (less than 10 years to retire)
- retired individuals

- 年金於退休策劃的運用
- 不同種類的年金及市場趨勢
- 強積金計劃及投資
- 強積金計劃內不同的供款
- 從數學角度計算退休需要
- 退休策劃之個案分析:
- 在職人士（多於 10 年內退休）
- 準備退休人士（少於 10 年內退休）
- 已退休人士

Date 日期

21 Dec 2009 (Mon)

2009 年 12 月 21 日（一）

Venue 地點

The Hong Kong General Chamber of Commerce Theatre
22/F United Centre, 95 Queensway, Hong Kong
香港總商會演講廳（香港金鐘道 95 號統一中心 22 樓）

Time 時間

6:30 PM - 8:30 PM (2.0 hours 小時)

Speaker 講者

Ronald Li, B.Soc.Sc., M.Sc., CFA, CFP, CIIA, FRM, MHKSI, AHKIB, DMS, FAIQ(CII)
Ronald Li has more than 22 years of relevant experience in the investment and banking industry. Prior to his present position in Bank of China (HK), he was the head of Customer Investment Services Department of a member bank of BOC Group. After the reorganization of BOC Group, Ronald was responsible for the setting up of the wealth management business of BOC(HK).
Ronald has been the part-time instructor for various courses relating to investment and financial planning for more than 10 years. Currently, he is studying a part-time PhD degree course in University of Shanghai Finance and Economics.

李明傑, B.Soc.Sc., M.Sc., CFA, CFP, CIIA, FRM, MHKSI, AHKIB, DMS, FAIQ(CII)
李明傑先生從事銀行及投資行業已超過 22 年，在中銀香港重組之前，曾任職其中一家中銀集團成員銀行的客戶投資服務部主管多年。中銀重組後，曾負責建構財富管理業務。
最近李先生一直擔任兼職導師，主講多個投資及金融財務課程，現時他正於上海財經大學修讀金融學博士學位。

Medium of Instruction 語言 Cantonese 廣東話

Continuous Education Recognition 持續進修時數		
IFPHK 香港財務策劃師學會	2.0	CE credits 學分
IA 保監處	2.0	CPD hours 小時
SFC 證監會	2.0	CPT hours 小時
MPFA 積金局	1.0	Core CPD hours 核心小時
	1.0	Non Core CPD hours 非核心小時

HKCAAVQ ref 香港學術及職業資歷評審局核准課程參考編號:80/92/02

[M1075H221RL091221]

Advising with Investment-linked Insurance Products in Practice
建議投資相連保險計劃的應用

[Course Code 課程編號:M102]

Content 內容

- Key features and classifications of the most popular plans in Hong Kong
- Using investment-linked plans as product solutions in financial planning for individuals
- Regulations of investment-linked business in Hong Kong

- 主要投資相連保險產品特點及分類
- 運用投資相連保險爲個人財務策劃方案
- 香港投資相連保險業務發展

Date 日期

12 Jan 2010 (Tue)

2010 年 1 月 12 日 (二)

Time 時間

3:00 PM - 5:00 PM (2.0 hours 小時)

Speaker 講者

Steve Lo , MBA, CFP

Steve Lo started his career as a professional investment consultant and financial planner in early 1994, he worked for two local and one international Independent Financial Advisor firms before joining ING Financial Planning (INGFP) in August 2004. He is now INGFP's Senior Vice President.

During his career life, Mr. Lo has earned the trust of many of his clients and has built up a loyal clientele. He has built a strong reputation in the industry and the media as an investment expert. Mr. Lo also writes numerous investment articles on investment and financial planning for the "Hong Kong Economic Journal".

盧世和, MBA, CFP

盧先生於 1994 年投身金融服務業，曾於兩間本地及一間國際獨立理財顧問公司任職。2004 年 8 月加入 ING Financial Planning (INGFP)，現爲該集團的高級副總裁。盧先生深受客戶信賴，多年來，成功建立了忠實的客戶組。盧先生乃行內著名投資專家，現爲香港信報月刊的投資專欄作家。 盧先生擁有工商管理碩士學位及認可財務策劃師資格。

Medium of Instruction 語言 Cantonese 廣東話

Continuous Education Recognition 持續進修時數		
IFPHK 香港財務策劃師學會	2.0	CE credits 學分
IA 保監處	2.0	CPD hours 小時
SFC 證監會	2.0	CPT hours 小時
MPFA 積金局	0.0	Core CPD hours 核心小時
	2.0	Non Core CPD hours 非核心小時

[M1022H220SL100112]

HKCAAVQ ref 香港學術及職業資歷評審局核准課程參考編號:80/92/02

Stay Away from Professional Negligence while providing financial Advising
如何避免與財務策劃有關之專業疏忽

[Course Code 課程編號:RZ39]

Content 內容

- Arise of professional duties of financial advisors and circumstances under which these duties can be breached
- Professional negligence in the incident of Lehman Brothers
- Precautions needed to adopt for financial advisors to prevent professional negligence

- 認識與財務策劃相關之專業責任及了解在什麼情況下財務策劃從業員會構成專業疏忽
- 分析雷曼兄弟個案中的專業疏忽
- 如何避免在提供財務策劃建議時產生不必要的專業疏忽

Date 日期 14 Jan 2010 (Thu)
2010 年 1 月 14 日 (四)

Time 時間 6:30 PM - 8:30 PM (2.0 hours 小時)

Speaker 講者 Joe Chan 陳偉彥, Barrister at Law
Lawrence Lok QC SC Chambers

Medium of Instruction 語言 Cantonese 廣東話

HKCAAVQ ref 香港學術及職業資歷評審局核准課程參考編號:Not "applicable/ 不適用"

Continuous Education Recognition 持續進修時數		
IFPHK 香港財務策劃師學會	2.0	CE credits 學分
IA 保監處	0.0	CPD hours 小時
SFC 證監會	2.0	CPT hours 小時
MPFA 積金局	0.0	Core CPD hours 核心小時
	2.0	Non Core CPD hours 非核心小時

[RZ393H200JA100114]

Negotiation Skill for Financial Planning Professionals
專業財務策劃從業員的談判技能

[Course Code 課程編號:RZ40]

Content 內容

- Understand the nature of negotiation; why negotiate and when?
- Learn how we should prepare for a negotiation strategically
- Identify two major types of negotiation: Win-Win and Win-Lose negotiation
- Know how we can formulate strategies to handle the Win-Win and Win-lose negotiation
- Case studies – Applying the appropriate tactics required to achieve our negotiation objectives by sharing the daily examples in Financial Planning Industry

- 了解談判的性質、爲何要及什麼時候需要談判?
- 學習如何準備談判策略
- 認識兩大類型的談判 - 「雙贏談判」和「輸贏談判」
- 如何制定策略處理「雙贏談判」和「輸贏談判」
- 個案分析 – 以財務策劃上常見的例子作分析，並運用適當的策略實踐談判目標

Date 日期

20 Jan 2010 (Wed)

2010 年 1 月 20 日 (三)

Time 時間

6:30 PM - 9:30 PM (3.0 hours 小時)

Speaker 講者

Jessie Ho

Ms Ho is a Lecturer who is currently working for Hong Kong Polytechnic University – Hong Kong Community College (HKCC), teaching the subject of Negotiation Skills, Psychology, Human Resources Management, and Management. Ms. Jessie Ho received her Master of Philosophy Degree in Industrial and Organizational Psychology at Chinese University of Hong Kong. She is also a member of Hong Kong Psychological Society. In addition, she is also a Ph.D. candidate of Macquarie University in Australia with research interest focused on improving the employees' self-management skills for achieving their goals.

何靜思

何小姐現爲香港理工大學 - 香港專上學院講師, 教授的科目包括:談判學, 心理學, 人力資源及管理學 。何小姐於 2001 年取得了中文大學工業及組織心理學的碩士學位，現任香港心理學會會員。此外. 她亦是澳州麥格里大學 (Macquarie University) 的博士研究生, 專注研究如何提昇企業員工達成目標的自我管理能力。

Medium of Instruction 語言 Cantonese 廣東話

Continuous Education Recognition 持續進修時數		
IFPHK 香港財務策劃師學會	3.0	CE credits 學分
IA 保監處	0.0	CPD hours 小時
SFC 證監會	3.0	CPT hours 小時
MPFA 積金局	0.0	Core CPD hours 核心小時
	3.0	Non Core CPD hours 非核心小時

[RZ403H300JE100120]

HKCAAVQ ref 香港學術及職業資歷評審局核准課程參考編號:Not "applicable/ 不適用"

Be a fund manager yourself - implementation of ETF in your portoflio
ETFs 於投資組合上的應用

[Course Code 課程編號:RZ41]

Content 內容

- Dynamic Asset Allocation
- Portfolio construction using ETFs
- Using ETFs to achieve absolute return
- Risk management

- 動態資產配置
- 如果運用 ETFs 建立投資組合
- 如果利用 ETFs 達致絶對回報
- ETF 組合之風險管理

Date 日期

26 Jan 2010 (Tue)

2010 年 1 月 26 日 (二)

Time 時間

6:30 PM - 9:00 PM (2.5 hours 小時)

Speaker 講者

Mr. Chan, B.Eng, CFA, CFP, FRM

Mr. Chan has more than 9 years banking experience in consumer and private banking industry in Hong Kong. He had extensive exposure in financial planning and investment advisory for high net worth individuals. Through frequent interactions with clients, Mr. Chan has practical skills and knowledge in delivering wealth management solutions with proven track record.

Mr. Chan speaks frequently on financial planning topics in various seminars and training workshops

陳先生, B.Eng, CFA, CFP, FRM

陳先生於個人及私人銀行業擁有超過 9 年工作經驗，爲高端客戶提供財務策劃及投資方案，在財富管理服務方面積累了相當豐富的經驗。陳先生擁有財務分析師(CFA)、認可財務策劃師(CFP)及金融風險管理師(FRM)的專業資格，常獲邀於不同的講座及培訓課程擔任嘉賓講者。

Medium of Instruction 語言 Cantonese 廣東話

HKCAAVQ ref 香港學術及職業資歷評審局核准課程參考編號:Not "applicable/ 不適用"

Continuous Education Recognition 持續進修時數		
IFPHK 香港財務策劃師學會	2.5	CE credits 學分
IA 保監處	0.0	CPD hours 小時
SFC 證監會	2.5	CPT hours 小時
MPFA 積金局	0.0	Core CPD hours 核心小時
	2.5	Non Core CPD hours 非核心小時

[RZ413A200JC100126]