

Financial Advisers' International Qualification

Application Form for Examination in English only

Improving your knowledge, enhancing your career

For office use only

Ref No. _____

Date received _____ by hand by mail

Date entry _____ Payment _____

Remarks _____

02/10/IFPHK

Personal Details

(please complete all fields)

Title Mr / Mrs / Miss/ Ms (must match with the name shown on ID, e.g. for Chan Lee Siu Ling, "Mrs" should be selected)

Surname _____ Given Name (as shown on ID) _____

Name in Chinese (if any) _____

Date of Birth _____ ID/Passport No. _____
(DD/MM/YYYY)

Employer's name _____ Position _____

Tel (Mobile) _____ (Company) _____ Fax _____

*Email Address _____

Correspondence Address _____

* To be used for on-line result checking

(Please tick "✓")

Education Level	<input type="checkbox"/> Primary	<input type="checkbox"/> Secondary	<input type="checkbox"/> Degree	<input type="checkbox"/> Above Degree	<input type="checkbox"/> Other _____
Type of organisation	<input type="checkbox"/> Independent financial adviser	<input type="checkbox"/> Investment house	<input type="checkbox"/> Insurance Company	<input type="checkbox"/> Bank	
	<input type="checkbox"/> Specialist provider (e.g. mortgages)	<input type="checkbox"/> Other			
Area of work	<input type="checkbox"/> Advising clients	<input type="checkbox"/> Underwriting	<input type="checkbox"/> Claims	<input type="checkbox"/> Administration/ Processing	
	<input type="checkbox"/> HR Training	<input type="checkbox"/> Compliance	<input type="checkbox"/> Finance	<input type="checkbox"/> Product Selling to advisers	
	<input type="checkbox"/> Technical adviser	<input type="checkbox"/> Other			
Job category	<input type="checkbox"/> Administrative	<input type="checkbox"/> Technical	<input type="checkbox"/> Advisory	<input type="checkbox"/> Supervisory/ Controller	
	<input type="checkbox"/> Middle management (Branch, Office Dept)	<input type="checkbox"/> Senior management	<input type="checkbox"/> Executive (CEO, Director)	<input type="checkbox"/> Business owner	
	<input type="checkbox"/> Other				

Exam Details

Exam Date: _____ (Request for change of the exam date will NOT be considered under any circumstances once the application is received by IFPHK)

Exam Language: English (Request for change of the exam language will NOT be considered under any circumstances once the application is received by IFPHK)

Fees

Entry to the Financial Advisers' International Qualification

Exam Fee: HK\$1,500 which covers the study manual, exam guide and one exam entry.

The exam fee is non-refundable and non-transferable.

Absence from an exam with medical evidence (to be received within 5 days after the exam) will enable you to apply for your entry to be transferred to the next available session. An administration fee of HK\$500 will be charged.

The study manual will only be available during the enrollment period.

Exam resit/re-entry

If you fail an exam or withdraw from the exam, the fee for re-sitting the exam is HK\$750 on each occasion.

No study manual will be delivered to resit exam candidate. If you wish to change the language version of the study manual, you are required to pay the full exam fee.

Declaration

Use of study materials

I undertake to use them for my own purpose and not to sell, copy, lend or give to anyone else.

Terms, conditions and cancellation

I have read and agree to the terms and conditions contained in this application form.

Data Protection Agreement

1. I explicitly consent that any personal information (personal data) from time to time collected or held by IFPHK (whether contained in this application or obtained otherwise) is provided and may be held, used, processed and/or disclosed (i) in accordance with and for the purpose outlined in the Data Privacy Statement herein, and/or (ii) to permit and enable IFPHK to:
 - a. fully and fairly process my application,
 - b. disclose any personal data where IFPHK has an obligation to make such disclosure under the requirements of any law binding on IFPHK,
 - c. use my personal data to compile statistics and analyse the results wholly for use within IFPHK and CII,
 - d. disclose my personal data to other affiliate members of IFPHK and CII for statistical purpose,
 - e. publish name on IFPHK's website and media once the candidate has passed the Financial Advisers' International Qualification and become IFPHK/CII Joint Member.
2. I understand that I may refuse to provide personal data as requested in the application or otherwise, but such refusal, or the provision of inaccurate personal data may result in IFPHK being unable to or refusing to process this application.
3. I understand that I have the right to check whether IFPHK holds personal data about me and that, if so, I have a right of access to my personal data. I can request IFPHK to correct any inaccurate personal data and if I need to obtain a copy of my personal data or have it corrected, I can write to the IFPHK.
4. I agree that IFPHK may disclose my examination result upon my current employer's request:
 Yes No

Signature

Date

Notice for Collection/Delivery of Study Manual (please fill in your address in the box below)

We hereby acknowledge the receipt of your application form and payment for FAIQ exam on _____.
Please retain this portion and refer to the back for important dates.

Payment Method: bank-in cheque credit card

Study Manual collected in person on _____.

Please bring along this receipt on/after _____ for collection of Study Manual in IFPHK office.

Please find enclosed the Study Manual mail to your address as request.

Name: _____

Address: _____
