

# Student (General) / University Student Membership

## Application Form



The global symbol of excellence  
in financial planning

## VISION

To be recognized as the premier professional body representing financial planners who uphold the highest standards in financial planning that benefit the public.

## MISSION

- To promote the CFP<sup>CM</sup> certification as the gold standard for financial planning.
- To reinforce and uphold professional standards in financial planning.
- To increase public awareness of the value of CFP certification and the financial planning process.
- To represent the top financial planning practitioners in the financial planning industry in Hong Kong and Macau.

## BACKGROUND

IFPHK was formed in June 2000 as a non-profit self-regulatory organization for the fast-growing financial planning industry. We are the sole licensing body in Hong Kong authorized by Financial Planning Standards Board Limited (FPSB) to grant the much-coveted and internationally-recognized CFP certification to qualified financial planning professionals in Hong Kong.

IFPHK represents the financial planning practitioners in Hong Kong. It has over 10,000 members from such diverse professional backgrounds as banking, insurance, stockbroking, asset management, accounting, and legal services.

## Student (General) / University Student Membership

- **Student (General) Members**  
Individuals who are interested to learn more about the financial planning industry.
- **University Student Members**  
Full time students studying bachelor degree programs (or above) at local universities.

## MEMBERSHIP BENEFITS FOR STUDENT MEMBERS

- Access to IFPHK Student Corner, a unique portal for student members.
- Click <http://studentcorner.ifphk.org/> and you can...
  - ✓ Learn the latest member news
  - ✓ Gain valuable insights from IFPHK's "Advisors Today"
  - ✓ Connect with Education Providers on the latest course updates
- Special rate to attend continuing education activities including seminars, forums, conferences and luncheons.
- Invitation to attend complimentary examination preparatory information sessions.
- Invitation to attend social events and gatherings.
- Discount in purchasing IFPHK textbooks for the IFPHK Registered CFP Certification Education Program, financial calculator and other related study tools.

## IMPORTANT NOTES

1. Please return the completed form together with appropriate fee to:  

Institute of Financial Planners of Hong Kong  
Unit 2601, 26/F, Bank of East Asia Harbour View Centre, 56 Gloucester Road, Wanchai, Hong Kong  
"Re: Application for Student Membership"
2. All applications are subject to review and IFPHK may in its absolute discretion refuse to approve your membership application.
3. All application fees are non-refundable.
4. If you have any concerns, issues or further queries regarding the Student (General) / University Student Membership application, please contact us by email at [membership@ifphk.org](mailto:membership@ifphk.org).
5. IFPHK observes the requirements and provisions of the Personal Data (Privacy) Ordinance.



**Section II: Education**

**For Student (General) Members**

Please indicate the highest academic qualification you attained:

- Degree Holder  Non-degree Holder

| Name of Education Institution | From (mm/yy) to (mm/yy) | Highest Qualification Attained |
|-------------------------------|-------------------------|--------------------------------|
|                               |                         |                                |

**For University Student Members**

Name of Local University: \_\_\_\_\_

Program Studied: \_\_\_\_\_

**Section III: Employment (For Student (General) Members)**

Current Employer: \_\_\_\_\_ Current Position: \_\_\_\_\_

Industry of Employment<sup>4</sup>: \_\_\_\_\_  
*(Please refer to the Industry Code below and choose the one that best describes the industry you are serving)*

*Industry Code*

|                        |                                   |                         |
|------------------------|-----------------------------------|-------------------------|
| I 1 Retail Banking     | I 6 Independent Financial Advisor | I 11 Account Practice   |
| I 2 Private Banking    | I 7 Fund House                    | I 12 Academia           |
| I 3 Investment Banking | I 8 Asset Management              | I 13 Real Estate Sector |
| I 4 Life Insurance     | I 9 Securities Brokerage          | I 14 Others             |
| I 5 General Insurance  | I 10 Legal Practice               |                         |

Years of Financial Planning Work Experience: \_\_\_\_\_

Earnings in the Past Year *(Please refer to the Earnings Code below)*: \_\_\_\_\_

*Earnings Code*

|     |                                       |
|-----|---------------------------------------|
| E 1 | Less than HK\$200,000                 |
| E 2 | HK\$200,000 - Less than HK\$400,000   |
| E 3 | HK\$400,000 - Less than HK\$600,000   |
| E 4 | HK\$600,000 - Less than HK\$800,000   |
| E 5 | HK\$800,000 - Less than HK\$1,000,000 |
| E 6 | HK\$ 1 million or above               |

Currently Held Statutory Licences:

- |                                 |                                      |  |
|---------------------------------|--------------------------------------|--|
| <b>SFC</b>                      |                                      | <b>IA</b>  |
| <input type="checkbox"/> Type 1 | Dealing in Securities                | <input type="checkbox"/> Individual Agent                            |
| <input type="checkbox"/> Type 2 | Dealing in Futures Contracts         | <input type="checkbox"/> Responsible Officer of Insurance Agency     |
| <input type="checkbox"/> Type 3 | Leveraged Foreign Exchange Trading   | <input type="checkbox"/> Technical Representative of Insurance Agent |
| <input type="checkbox"/> Type 4 | Advising on Securities               |  |
| <input type="checkbox"/> Type 5 | Advising on Futures Contracts        | <b>Others</b>  |
| <input type="checkbox"/> Type 6 | Advising on Corporate Finance        | <input type="checkbox"/> MPF Intermediaries                          |
| <input type="checkbox"/> Type 7 | Providing Automated Trading Services | <input type="checkbox"/> PIBA  |
| <input type="checkbox"/> Type 8 | Securities Margin Financing          | <input type="checkbox"/> CIB   |
| <input type="checkbox"/> Type 9 | Asset Management                     |  |

<sup>4</sup> Compulsory field to be filled in for statistical purpose.

**Section IV : Declaration**

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**Qualification**

I declare that I possess the following qualification for the application of IFPHK Student (General) / University Student Membership.

(Please "✓" as appropriate)

- Student (General) Membership**  
An individual who is interested to learn more about the financial planning industry.
- University Student Membership**  
A full time student studying bachelor degree program (or above) at local university.

**Terms and Conditions**

1. I have read and agree to be bound by IFPHK's *Memorandum and Article of Association* and the regulations of the Student (General) / University Student membership, as updated from time to time, together with the various documents referenced therein, including IFPHK's *Disciplinary Rules and Procedures* and IFPHK's *Code of Ethics and Professional Responsibility* at all times in practice.

**Data Protection Agreement**

1. I explicitly consent that any personal information (personal data) from time to time collected or held by IFPHK (whether contained in this application or obtained otherwise) is provided and may be held, used, processed and/or disclosed (i) in accordance with and for the purpose outlined in the Data Privacy Statement herein, and/or (ii) to permit and enable IFPHK to:
  - a. fully and fairly process my application,
  - b. disclose any personal data where IFPHK has an obligation to make such disclosure under the requirements of any law binding on IFPHK,
  - c. disclose my membership status, date of award of membership, professional standing and history of disciplinary actions as a Student (General) / University Student member and the date of my ceasing to be a Student (General) / University Student member (if applicable) to the public,
  - d. use my personal data to compile statistics and analyse the results wholly for use within IFPHK,
  - e. disclose my personal data to Financial Planning Standards Board Ltd. (FPSB) and its affiliate members for statistical purpose.
2. I understand that I may refuse to provide personal data as requested in the application or otherwise, but such refusal, or the provision of inaccurate personal data may result in IFPHK being unable to or refusing to process this application.
3. I agree that IFPHK may disclose my Student (General) / University Student membership status to my employer (being the entity with which I have an employment, agency or similar contractual obligation, and/or the holding companies, subsidiary companies or associated members of such entity) [that is kept in the IFPHK record] upon their request.
  - Yes                       No / Not applicable
4. I understand that I have the right to check whether IFPHK holds personal data about me and that, if so, I have a right of access to my personal data. I can request IFPHK to correct any inaccurate personal data and if I need to obtain a copy of my personal data or have it corrected, I can write to IFPHK at Unit 2601, 26/F., Bank of East Asia Harbour View Centre, 56 Gloucester Road, Wanchai, Hong Kong.

**By my signature below,**

- (i) I agree to ALL the Terms and Conditions and Data Protection Agreement stated in SECTION IV; and
- (ii) I declare that the statements made in this application and any attachments are accurate and true. I authorise the investigation of all statements made by me with respect to this application. I agree to be subject to any disciplinary rules of IFPHK for any misrepresentation in this application.

Signature \_\_\_\_\_ Date \_\_\_\_\_

**Section V: Payment Details**

Payment enclosed:



**Student (General) Membership:** HK\$100

**University Student Membership:** HK\$50

Payment Method:

Payment by cheque to: **"IFPHK Ltd."** ( Bank: \_\_\_\_\_ Cheque No.: \_\_\_\_\_ )

Payment by credit card:  VISA  MasterCard

I authorize The Institute of Financial Planners of Hong Kong Limited to debit the above sum from my credit card account.

Card No. \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

Cardholder's Name \_\_\_\_\_ Card Expiry Date \_\_\_\_\_(MM/YY)

Cardholder's Signature \_\_\_\_\_ Date \_\_\_\_\_

| For Official Use only |         |         |
|-----------------------|---------|---------|
| Name of applicant     | Ref No. | Remarks |

**ACKNOWLEDGEMENT OF RECEIPT**

**For Student (General) / University Student Membership Application Form Submitted in Person**



To: \_\_\_\_\_ (Please fill in your full name)

We hereby acknowledge the receipt of your Student (General) / University Student Membership Application Form.

For your information, the processing time for this application will be around 6 to 8 weeks. During this period, IFPHK may contact you regarding supplementary information to ensure a smooth process.

If you have any concerns, issues or further queries regarding the Student (General) / University Student Membership application, please contact us by email at [membership@ifphk.org](mailto:membership@ifphk.org).

\_\_\_\_\_  
Institute of Financial Planners of Hong Kong

\_\_\_\_\_  
Date