



Corporate Membership 

# APPLICATION FORM

## Introduction of IFPHK

IFPHK was established in June 2000 as a non-profit organization for the fast-growing financial services industry. It aims to be recognized in the region as the premier professional body representing financial planners dedicated to upholding the highest professional standards.

The Institute is the sole licensing body in Hong Kong authorized by Financial Planning Standards Board Limited to grant the much-coveted and internationally-recognized CFP<sup>CM</sup> Certification and AFP<sup>TM</sup> Certification to qualified financial planning professionals in Hong Kong and Macau.

It represents more than 7,600 financial planning practitioners in Hong Kong from such diverse professional backgrounds as banking, insurance, independent financial advisory, stock broking, accounting, and legal services.

Currently there are more than 170,100 CFP certificant in 26 countries/regions; the majority of these professionals are in the U.S., Canada, China, Australia and Japan, with about 4,500 CFP certificant in Hong Kong.

CFP<sup>CM</sup>, CERTIFIED FINANCIAL PLANNER<sup>CM</sup>,  CFP<sup>CM</sup>,  CERTIFIED FINANCIAL PLANNER<sup>TM</sup>, AFP<sup>TM</sup>, ASSOCIATE FINANCIAL PLANNER<sup>TM</sup>,  AFP and  ASSOCIATE FINANCIAL PLANNER<sup>®</sup> are certification marks and/or trademarks owned outside the U.S. by Financial Planning Standards Board Ltd. The Institute of Financial Planners of Hong Kong is the marks licensing authority for the CFP marks and AFP marks in Hong Kong and Macau, through agreement with FPSB.

## List of Founding Members (in alphabetical order)

AllianceBernstein Hong Kong Limited  
Altruist Financial Group Limited  
American Express Bank Limited  
AIA International Limited  
AXA China Region Insurance Company Limited  
Bank of China (Hong Kong) Limited  
BNP Paribas Private Bank  
Charles Schwab, Hong Kong, Limited  
China Construction Bank (Asia) Corporation Ltd.  
Chubb Insurance Hong Kong Limited  
Citibank, N.A., Hong Kong  
DBS Bank (Hong Kong) Limited  
Fidelity Investments Management (Hong Kong) Limited  
Franklin Templeton Investments (Asia) Limited  
FTLife Insurance Company Limited  
Hang Seng Bank Limited  
INVESCO Hong Kong Limited  
Manulife (International) Limited  
MLC (Hong Kong) Limited  
Morgan Stanley Dean Witter Asia Limited  
Pegasus Financial Services Limited  
Prudential Hong Kong Limited  
Royal Skandia Life Assurance Limited  
Sun Life Hong Kong Limited  
T G Holborn (HK) Limited  
The Hongkong and Shanghai Banking Corporation Limited  
The Life Underwriters Association of Hong Kong Limited  
UBS AG  
Zurich Life Insurance Company Limited

## IFPHK Corporate Membership

Corporate Members are organizations that are engaged in the financial planning or financial services industry in Hong Kong. Any corporations involved with financial planning services or interested in expanding their services in financial planning are welcome to join IFPHK.

### Corporate Membership Benefits

As a corporate member of IFPHK, your company will enjoy access to a combination of opportunities and advantages that are designed to improve the professionalism of your practitioners, illustrate your commitment to financial planning excellence and open new doors to potential clients. There include:

- Free copies of our official journal *Advisors Today* and discounts for advertising.
- Special members' rate to attend forums, conferences and CE programs organized by the IFPHK.
- Special members' rate to attend international conferences organized by sister associations of the IFPHK around the world.
- Preferential rates for bespoke and accredited in-house training programmes in financial planning and wealth management.
- Discounts for advertising in special supplements in selected newspapers where commissioned by the IFPHK.
- Invitations to networking and social events organized for IFPHK corporate members.
- A wide variety of sponsorship opportunities for IFPHK events.
- Discounts for renting IFPHK's training facilities and meeting rooms.

## How to Apply?

Submit this “**Corporate Membership Application Form**”, **membership fee** together with a copy of your **valid business registration, annual report** or **prospectus**. Applicants will receive a membership certificate once the application has been processed. The application would take at least 4 weeks.

## Membership Fee

One-year Membership (from date of approval to year end of corresponding year)

HK\$8,000

Two-year Membership (from date of approval to year end of next year)

HK\$15,000

## Reminder

- Members should inform IFPHK of any changes in company profile.
- IFPHK reserves the right to make any alterations in regards to the policy, registration and benefits without prior notice.

**For Office Use Only**

Membership No.: \_\_\_\_\_

Date Received: \_\_\_\_\_

Date Approved: \_\_\_\_\_

## The Institute of Financial Planners of Hong Kong

### Corporate Membership Application Form

#### Company Profile

Company Name (English) \_\_\_\_\_

(Chinese) \_\_\_\_\_

Correspondence Address \_\_\_\_\_

Telephone (Main Line) \_\_\_\_\_ Fax (General) \_\_\_\_\_

Email (General) \_\_\_\_\_ Website\* \_\_\_\_\_

\*Do you wish to hyperlink your company's website from the website of IFPHK?  Yes  No

#### Business Field: (Please "✓" as appropriate)

- |   |  |
|---|--|
| <input type="checkbox"/> I1 Retail Banking                | <input type="checkbox"/> I8 Securities Brokerage |
| <input type="checkbox"/> I2 Private Banking               | <input type="checkbox"/> I9 Legal Practice       |
| <input type="checkbox"/> I3 Investment Banking            | <input type="checkbox"/> I10 Accounting Practice |
| <input type="checkbox"/> I4 Life Insurance                | <input type="checkbox"/> I11 Academia            |
| <input type="checkbox"/> I5 General Insurance             | <input type="checkbox"/> I12 Real Estate Sector  |
| <input type="checkbox"/> I6 Independent Financial Advisor | <input type="checkbox"/> I13 Other               |
| <input type="checkbox"/> I7 Asset Management              | (please specify: _____)                          |

#### Contact Details

##### Chief Executive Officer / Managing Director

Name: Mr / Ms / Mrs \_\_\_\_\_ Position: \_\_\_\_\_

Email\*\*: \_\_\_\_\_ Direct Line: \_\_\_\_\_

Correspondence Address: \_\_\_\_\_

##### Secretary:

Name: Mr / Ms / Mrs \_\_\_\_\_ Position: \_\_\_\_\_

Email\*\*: \_\_\_\_\_ Direct Line: \_\_\_\_\_

##### Contact Person (Contact point with IFPHK. Information from IFPHK will be sent to the contact person.)

Is Chief Executive Officer / Managing Director the contact person?

Yes  No (Please fill in the information below)

Contact Person: Mr / Ms / Mrs \_\_\_\_\_ Position: \_\_\_\_\_

Email\*\*: \_\_\_\_\_ Direct Line: \_\_\_\_\_

Correspondence Address: \_\_\_\_\_

**Head of Training**

Name: Mr / Ms / Mrs \_\_\_\_\_ Position: \_\_\_\_\_

Email\*\*: \_\_\_\_\_ Direct Line: \_\_\_\_\_

Correspondence Address: \_\_\_\_\_

**Contact Person for Training related issues:**

Name: Mr / Ms / Mrs \_\_\_\_\_ Position: \_\_\_\_\_

Email\*\*: \_\_\_\_\_ Direct Line: \_\_\_\_\_

**Head of Marketing**

Name: Mr / Ms / Mrs \_\_\_\_\_ Position: \_\_\_\_\_

Email\*\*: \_\_\_\_\_ Direct Line: \_\_\_\_\_

Correspondence Address: \_\_\_\_\_

**Contact Person for Marketing related issues:**

Name: Mr / Ms / Mrs \_\_\_\_\_ Position: \_\_\_\_\_

Email\*\*: \_\_\_\_\_ Direct Line: \_\_\_\_\_

**Head of Communication / PR**

Name: Mr / Ms / Mrs \_\_\_\_\_ Position: \_\_\_\_\_

Email\*\*: \_\_\_\_\_ Direct Line: \_\_\_\_\_

Correspondence Address: \_\_\_\_\_

**Contact Person for Communication / PR related issues:**

Name: Mr / Ms / Mrs \_\_\_\_\_ Position: \_\_\_\_\_

Email\*\*: \_\_\_\_\_ Direct Line: \_\_\_\_\_

*\*\* IFPHK will regularly communicate with you via email***Membership Fee and Payment** (Please "✓" as appropriate) **HK\$8,000** One Year     **HK\$15,000** Two Years**Payment Method** Cheque (Bank: \_\_\_\_\_ Cheque No.: \_\_\_\_\_) Credit Card

I authorize IFPHK Ltd. to debit HK\$ \_\_\_\_\_ from my credit card.

 VISA     MasterCardCard No:         -      -      -     

Expiry Date: \_\_\_\_\_ / \_\_\_\_\_ (MM/YY)    Name of Cardholder: \_\_\_\_\_

Signature of cardholder: \_\_\_\_\_    Date: \_\_\_\_\_

## **Applicant Statement**

I declare that the statements made in this application are true and complete and authorize the investigation of all statements made herein on behalf of the company with respect to this application. If the company becomes the subject of any criminal offence or has been expelled from a professional society or institute, I undertake to provide additional relevant documents together with this form. We understand that IFPHK has the final decision to refuse any application and/or terminate the membership of any corporate member which practices are proved to have adversely affected the CFP<sup>CM</sup> marks, the profession and/or the reputation or otherwise of IFPHK.

I agree to procure the corporation to comply with the IFPHK Code of Ethics and Professional Responsibilities, the provisions of the IFPHK's Memorandum and Article of Association and IFPHK's Disciplinary Rules and Regulations at all times in practice.

Authorized Signature: \_\_\_\_\_ Date: \_\_\_\_\_

### **Company Chop**

### **Remarks**

- All applications are subject to review and approval by the Member Services Committee and IFPHK may in its absolute discretion refuse to approve your membership application.
- Data submitted will be processed accordingly to Personal Data (Privacy) Ordinance.
- 5% of membership fee will be contributed to the IFPHK Capital Fund.
- The Member Services Committee endeavours to notify the result of the application with 8 weeks. If you wish to enquire the status of your application, please contact us at 2982 7888.

Please return the original completed form together with appropriate fee to:

**Marketing and Member Services Department, Institute of Financial Planners of Hong Kong**  
**13/F, Causeway Bay Plaza 2, 463 - 483 Lockhart Road, Hong Kong**

**Enquiries: (Tel) 2982 7888 (Fax) 2982 7777 (Website) [www.ifphk.org](http://www.ifphk.org) (Email) [memberservices@ifphk.org](mailto:memberservices@ifphk.org)**