

List of Regulator Recognition on CFP® Certification Program

Regulatory Body	Recognition or Exemption	Reference
Securities and Futures Commission (SFC)	CFP certification is one of the professional qualifications meeting the academic / industry requirements for responsible officers and representatives of licensed corporations. CFP certificants are not required to take the recognised industry qualifications, such as the HKSI Licensing Examination Papers 7, 8, 9, 11, and 12. CFP certificants need to pass Local Regulatory Framework papers.	Paragraphs 4.2 and 4.3 of the SFC <i>Guidelines on Competence</i>
Insurance Authority (IA)	Exemption from the requirement to pass the Investment-linked Long Term Insurance (IL Paper) of the Insurance Intermediaries Qualifying Examination (IIQE) can be granted to CFP certificants.	Annex 1 of <i>Guideline on "Fit and Proper" Criteria for Licensed Insurance Intermediaries under the Insurance Ordinance (Cap. 41) (GL23)</i>
Insurance Authority (IA)	CFP certificants are considered to have satisfied the annual CPD requirements for the Assessment Period (except for the compulsory CPD hours on "Ethics or Regulations") under Type 6: Fulfilment of CPD Requirements of Specified Qualifications ; if: (a) they have satisfied the CPD (or similar) requirements imposed by the relevant institutes or professional bodies granting such Specified Qualifications for the Assessment Period; and	Annex 1 of <i>Guideline on Continuing Professional Development for Licensed Insurance Intermediaries (GL24)</i>

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	(b) the CPD (or similar) requirements in (a) should be no less than the annual CPD requirements as set out in paragraph 3.1 of IA's Guideline.	
Insurance Authority (IA)	CFP certificants fulfil additional competence requirements applied to brokers offering investment choices and premium allocation services under Investment-Linked Assurance Schemes (ILAS).	<i>Practice Note detailing enhanced competence requirements for insurance brokers providing advisory services on Investment-Linked Assurance Scheme (ILAS) products</i>
Macao Special Administrative Region Education and Youth Development Bureau (DSEDJ)	CFP certification program is one of the eligible programs under the Government's subsidy scheme.	<i>2026–2029 Continuous Development and Improvement Program</i>

For detailed information, please refer to the guidelines of the respective regulators. This disclaimer serves to highlight that the original source should be consulted for accurate and up-to-date details.

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各監管機構對 CFP® 認可財務策劃師之認可資格

監管機構	認可資格或豁免事項	參考資料
證券及期貨事務監察委員會（證監會）	CFP 認可財務策劃師資格為認可資格之一，符合持牌法團負責人員及代表的學術／行業資格要求。CFP 認可財務策劃師毋須應考認可行業資格（如香港證券及投資學會資格考試試卷 7、8、9、11 及 12），惟須應考本地監管架構試卷。	證監會《勝任能力的指引》第 4.2 及 4.3 段
保險業監管局（保監局）	CFP 認可財務策劃師可獲豁免應考保險中介人資格考試（IIQE）之投資相連長期保險試卷（投資相連保險考試）。	《保險業條例》（第 41 章）持牌保險中介人「適當人選」的準則指引（GL23）附件一
保險業監管局（保監局）	CFP 認可財務策劃師在符合以下條件的情況下，會被視為已符合評核期內於 類別 6：符合指明資格的持續專業培訓規定 下的每年持續專業培訓（CPD）要求（獲取「道德或規例」範疇強制性 CPD 時數的規定除外）： (a) 就該評核期而言，符合頒授該等指明資格的有關學院或專業機構施加的 CPD（或相類似）規定；及 (b) 上述 (a) 項的 CPD（或相類似）規定不得少於保監局指引第 3.1 段載列的每年 CPD 要求。	《持牌保險中介人持續專業培訓指引》（GL24）附件一
保險業監管局（保監局）	CFP 認可財務策劃師符合適用於就投資相連壽險計劃（ILAS）提供投資選擇及保費分配服務之保險經紀的額外勝任能力要求。	《闡述就投資相連壽險計劃產品提供意見的保險經紀的額外勝任能力要求實務指引》

監管機構	認可資格或豁免事項	參考資料
澳門特別行政區政府教育及青年發展局	CFP 認可財務策劃師認證課程為政府資助計劃下的認可課程之一。	2026–2029 年度「持續進修發展計劃」

詳細資料請參閱相關監管機構指引。本免責聲明旨在提醒使用者應參閱原始出處以獲取準確及最新詳情。

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