

Transcript Review

PRE-APPROVED COURSES/PROGRAM FOR EXEMPTION

The following subjects offered at local education institutions have a pre-approved status for exemptions from respective courses/program by the IFPHK. The exemptions will be given to applicants upon receipt of completed transcript review applications together with official transcripts showing passing grades in the courses and appropriate application fees.

Remarks: For those associate degree/higher diploma programs listed, applicants must have successfully completed the entire program and have been awarded the associate degree/higher diploma before applying for transcript review.

COURSE 1: Investment Planning and Asset Management CFP Certification Education Program

<u>Institution</u>	<u>Code</u>	<u>Course / Program</u>
City University of Hong Kong	EF3320 + EF4320	Security Analysis and Portfolio Management; PLUS Advanced Security Analysis and Portfolio Management
City University of Hong Kong	EF5050 + EF5052	Derivatives and Risk Management; PLUS Investments
City University of Hong Kong	EF4328	Asset Management
The Education University of Hong Kong	BUS4059 + BUS4060	Investment Analysis and Portfolio Management for Personal Needs; PLUS Risk Management for Investment
The Hang Seng University of Hong Kong / Hang Seng Management College	FIN3002 + FIN3003 + FIN4006	Introduction to Financial Management; PLUS Principles of Investments; PLUS Portfolio Management
The Hang Seng University of Hong Kong / Hang Seng Management College	FIN2001 + FIN3003 + FIN4006	Financial Management; PLUS Principles of Investments; PLUS Portfolio Management
Hong Kong Baptist University	FIN2250 / FINE3005	Investment Management
Hong Kong Chu Hai College	FNE301 + FNE311	Investment Portfolio Management; PLUS Financial Markets and Institutions
Hong Kong Shue Yan University	BUS410	Investment Analysis and Portfolio Management
Lingnan University	FIN300 / FIN2300	Investment and Portfolio Management
Macau Institute of Management	ATG202 + ATG203 + BKF302	財務管理導論; PLUS 財務管理; PLUS 財務規劃和管理
Macau University of Science and Technology	BCOM002 + BCOM003 + BCOM005 + BCOM006 + BCOM007 + BCOM103 + BCOM104	Microeconomics; PLUS Macroeconomics; PLUS Introduction to Financial Accounting; PLUS Introduction to Managerial Accounting; PLUS Fundamentals of Finance; PLUS Financial Management; PLUS Cost and Management Accounting
PolyU SPEED	BHMS5054 + BHMS5055 + BHMS5057 + BHMS5058	Equity Analysis and Valuation; PLUS Investment and Portfolio Management; PLUS Wealth Creation and Management; PLUS Derivatives and Hedging Strategies
The Hong Kong Polytechnic University	AF5344	Investments
The Hong Kong Polytechnic University	AF4317 + AF4324 + AF4325	Derivative Securities; PLUS Financial Planning; PLUS Wealth Management
Hong Kong Metropolitan University	FIN B385F	Investment Management
UOW College Hong Kong	FIN3201 + FIN4301	Investment and Portfolio Management; PLUS Derivative Securities

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COURSE 2: Insurance Planning and Risk Management CFP Certification Education Program

<u>Institution</u>	<u>Code</u>	<u>Course / Program</u>
City University of Hong Kong	EF5300 + EF5343	Advanced Personal Finance; PLUS Corporate Risk Management Policies
City University of Hong Kong	MS4232 + MS4241	Casualty Insurance; PLUS Life Insurance
The Education University of Hong Kong	BUS3054 + BUS3056	Household Finance and Wealth Management; PLUS Lifelong and Retirement Financial Planning
Hong Kong Chu Hai College	FNE215 + FNE377	Financial Planning; PLUS Financial Risk Management and Insurance
Hong Kong Shue Yan University	BUS406	Insurance
Lingnan University	RIM201 / RIM2201	Principles of Insurance
The Hong Kong Polytechnic University	AF3318	Principles of Insurance
The Hong Kong Polytechnic University	AF5348	Risk Management and Insurance
UOW College Hong Kong	FIN3304 + FIN4203	Risk Management and Insurance; PLUS Wealth Management

COURSE 3: Tax Planning and Estate Planning CFP Certification Education Program

<u>Institution</u>	<u>Code</u>	<u>Course / Program</u>
The Education University of Hong Kong	BUS2051 + BUS3054 + BUS3056	Budgeting and Taxation; PLUS Household Finance and Wealth Management; PLUS Lifelong and Retirement Financial Planning
The Hang Seng University of Hong Kong / Hang Seng Management College	ACC3003 / ACY3003+ FIN4004	Hong Kong Taxation; PLUS Personal Financial Planning
Hong Kong Chu Hai College	FNE215 + ABA367	Financial Planning; PLUS Hong Kong Taxation
Hong Kong Shue Yan University	BUS310 + BUS405	Estate and Retirement Planning; PLUS Tax Planning / Hong Kong Taxation and Planning
Lingnan University	ACT203 / ACT3203 + RIM250 / RIM2250	Taxation; PLUS Personal Risk and Financial Planning
The Hong Kong Polytechnic University	AF5214 + AF5350	Taxation for Financial Planning; PLUS Employee Benefits and Estate Planning
The Hong Kong Polytechnic University	BHMS5057 + BHMS5059 + BHMS5060	Wealth Creation and Management; PLUS Hong Kong Tax Framework and Financial Regulations; PLUS Family Wealth Management
UOW College Hong Kong	ACC2501 + FIN4203	Taxation; PLUS Wealth Management

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PRE-APPROVED COURSES/PROGRAM FOR EXEMPTION

AFP Certification Education Program

Institution	Code	Course / Program
CUHK School of Continuing and Professional Studies	N/A	Higher Diploma in Financial Services
HKBU College of International Education	N/A	Associate of Arts (Financial Management) ¹
HKBU College of International Education	N/A	Associate Degree with concentration in Wealth Management ¹
HKU SPACE - Po Leung Kuk Stanley Ho Community College	N/A	Higher Diploma in Business (Accounting and Financial Planning) / Higher Diploma in Accounting - Accounting and Financial Planning Theme
HKU SPACE - Community College	N/A	Higher Diploma in Business
Hong Kong Institute of Vocational Education	N/A	Higher Diploma in Financial Planning and Investment
Hong Kong Institute of Vocational Education	BA124003 /	Higher Diploma in Financial Services (Investment and Wealth Management) /
	BA124010	Higher Diploma in Banking and Finance (Investment and Wealth Management)
Hong Kong Institute of Vocational Education	N/A	Higher Diploma in Banking and Financial Analysis
HKMU Li Ka Shing School of Professional and Continuing Education	N/A	Higher Diploma in Business Administration and Management ²
PolyU Hong Kong Community College	8C008-AF ³	Associate Degree in Business (Accounting and Finance)
PolyU Hong Kong Community College	8C008-BM ³ / 8C108-BM ³	Associate Degree in Business (Business Management)
PolyU Hong Kong Community College	8C008-NS ³	Associate Degree in Business
PolyU Hong Kong Community College	8C108-FIN ⁴	Associate Degree in Business (Finance)
PolyU Hong Kong Community College	8C108-NS ⁴	Associate Degree in Business
PolyU Hong Kong Community College	8C015	Higher Diploma in Financial and Investment Planning
PolyU Hong Kong Community College	8C121-FIP	Higher Diploma in Financial and Investment Planning
UOW College Hong Kong	N/A	Associate of Business Administration in Financial Services

¹ Required to pass all three relevant subjects, namely, Financial Planning (Code: CS2596 / WMGT3302 / FMGT3302 / FMGT2300), Investment Management (Code: CS2616 / WMGT3303 / FMGT3303 / FMGT2301) and Principles of Insurance (Code: CS2606 / WMGT3305 / FMGT3305 / FMGT2303).

² Required to pass all two relevant subjects, namely, Fundamentals of Financial Planning I (Code: FIN4078EF / FIN4093EF / FIN4097EF) and Fundamentals of Financial Planning II (Code: FIN4079EF / FIN4094EF / FIN4098EF).

³ Required to pass all five relevant subjects, namely, Financial Management (Code: CC3111 / BMMH2113), Principles of Insurance (Code: CC3137 / BMMH2145), Principles of Investment (Code: CC3138 / BMMH2132); Principles of Financial Planning (Code: CC3152 / BMMH2144) and Retirement and Estate Planning (Code: CC3173).

⁴ Required to pass all five relevant subjects, namely, Personal Financial Planning (Code: CCN1021 / BMMH1021), Financial Management (Code: CCN2113 / BMMH2113), Principles of Investments (Code: CCN2132 / BMMH2132); Principles of Insurance (Code: CCN2145 / BMMH2145) and Retirement and Estate Planning (Code: CCN2161).